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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John R. Liddicoat et al.
Serial No.: 10/068,700
Filing Date: 02/05/2002
Title: APPARATUS AND METHOD FOR
REDUCING MITRAL REGURGITATION
Group Art Unit: 3738
Examiner: Unknown
Attorney's Docket No.: VIA-16

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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TECHNOLOGY CENTER R3700

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR 1.56, 1.97 and 1.98, Applicants hereby make the following documents of record in the above-identified application:

U.S. Patents

- (1) U.S. Patent No. 4,669,473, issued 06/02/87 to Richards et al.
- (2) U.S. Patent No. 4,917,698, issued 04/17/90 to Carpentier et al.
- (3) U.S. Patent No. 5,092,889, issued 03/03/92 to Campbell, Jr.
- (4) U.S. Patent No. 5,269,809, issued 12/14/93 to Hayhurst et al.
- (5) U.S. Patent No. 5,332,402, issued 07/26/94 to Teitelbaum.
- (6) U.S. Patent No. 5,800,526, issued 09/01/98 to Anderson et al.
- (7) U.S. Patent No. 5,957,949, issued 09/28/99 to Leonhardt et al.
- (8) U.S. Patent No. 5,980,570, issued 11/09/99 to Simpson
- (9) U.S. Patent No. 6,162,245, issued 12/19/00 to Jayaraman
- (10) U.S. Patent No. 6,187,040, issued 02/13/01 to Wright
- (11) U.S. Patent No. 6,241,746, issued 06/05/01 to Bosma et al.
- (12) U.S. Patent No. 6,332,896, issued 12/25/01 to Hubbard et al.
- (13) U.S. Patent No. 6,419,696, issued 07/16/02 to Ortiz et al.
- (14) U.S. Patent No. 6,537,314, issued 03/25/03 to Langberg et al.
- (15) U.S. Patent No. 6,569,198, issued 05/27/03 to Wilson et al.

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Foreign Patents

- (16) PCT International Publication No. WO 02/053206 A2, by Cardiac Dimensions, Inc. published 07/11/02
- (17) PCT International Publication No. WO 02/060352 A1, by MITRALIFE published 08/08/02
- (18) PCT International Publication No. WO 02/062270 A1, by Jan Otto Solem et al. published 08/15/02
- (19) PCT International Publication No. WO 02/091908 A2, by Cardiac Dimensions, Inc. published 11/21/02
- (20) PCT International Publication No. WO 02/100240 A2, by Cardiac Dimensions, Inc. published 12/19/02
- (21) PCT International Publication No. WO 03/037171 A2, by Cardiac Dimensions, Inc. published 05/08/03

Other Documents

- (22) U.S. Patent Application Publication No. US 2002/0087173 A1, by to Alfreness et al. published 07/04/02
- (23) U.S. Patent Application Publication No. US 2002/0103532 A1, by Langberg et al. published 08/01/02
- (24) U.S. Patent Application Publication No. US 2002/0103533 A1, by Langberg et al. published 08/01/02
- (25) U.S. Patent Application Publication No. US 2002/0151961 A1, by Lashinski et al. published 10/17/02
- (26) U.S. Patent Application Publication No. US 2002/0169502 A1, by Mathis published 11/14/02 t
- (27) U.S. Patent Application Publication No. US 2002/0169504 A1, by Alfreness et al. published 11/14/02
- (28) U.S. Patent Application Publication No. US 2003/0069636 A1, by Solem et al. published 04/10/03
- (29) U.S. Patent Application Publication No. US 2003/0083538 A1, by Adams et al. published 05/01/03
- (30) U.S. Patent Application Publication No. US 2003/0105520 A1, by Alfreness et al. published 06/05/03

Copies of these documents, which are listed on the accompanying Form PTO-1449 (two pages), are enclosed herewith. Applicants respectfully request that these documents be fully considered by the U.S. Patent and Trademark Office during the examination of this application and printed on any patent which may issue on this application. Applicants also respectfully request that a copy of Form PTO-1449 (two pages), as considered and initialed by the Examiner, be returned to the undersigned with the next communication.

This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No certification or fee is believed to be required.

It is believed that this disclosure complies with the requirements of 37 CFR 1.56, 1.97 and 1.98. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be contacted by the Examiner by telephone in order that any deficiencies may be expeditiously remedied.

The enclosed documents may have markings thereon. Applicants are not presently aware of the source of those markings, and no significance is meant to be attached thereto.

Please charge any additional fees due in connection with this submission, or credit any overpayment, to Deposit Account No. 16-0221. A duplicate copy of this submission is enclosed for the convenience of the Examiner.

Thank you.

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450, ON:

July 30, 2003

(DATE OF DEPOSIT)

James A. Sheridan

(NAME OF ATTORNEY)

(SIGNATURE)

July 30, 2003

(DATE OF SIGNATURE)

Respectfully submitted,

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